

County Council of Howard County, Maryland

2016 Legislative Session

Legislative day # 1

RESOLUTION NO. 11 - 2016

Introduced by: Chairperson at the request of the County Executive

A RESOLUTION confirming the reappointment of Stephen Rivers to the Commission on Disability Issues.

Introduced and read first time on January 4, 2016.

By order Jessica Feldmark  
Jessica Feldmark, Administrator to the County Council

Read for a second time and a public hearing held on January 19, 2016.

By order Jessica Feldmark  
Jessica Feldmark, Administrator to the County Council

This Resolution was read the third time and was Adopted , Adopted with amendments , Failed , Withdrawn  by the County Council on February 1, 2016.

Certified by Jessica Feldmark  
Jessica Feldmark, Administrator to the County Council

NOTE: [[text in brackets]] indicates deletions from existing language; TEXT IN SMALL CAPITALS indicates additions to existing language. Strike-out indicates material deleted by amendment; Underlining indicates material added by amendment.



# Stephen Rivers

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- Objective** After suffering a stroke in 2001, I decided contribute to awareness, support, and advocacy of disability issues.
- Work experience**
- 1994 – 2001 Janney Montgomery Scott Philadelphia, PA  
**First Vice President - Sales**
- Handling Appropriate transactions of stocks, bonds, other securities and insurance
  - Financial Management
- 1983 – 1994 Smith Barney Philadelphia, PA  
**First Vice President - Sales**
- Financial Management
  - Handling Appropriate transactions of stocks, bonds, other securities and insurance
- Assistant Regional Manager / Regional Administrator**
- Participated in supervision of approximately 2000 broker-dealers employees
  - Involved in setting up and running branch offices, including back office operations, personnel, sales, compliance, as well as other functions.
- 1972 – 1982 Securities and Exchange Commission Washington D.C.  
**Senior Attorney**
- Worked in a program that inspected Broker-Dealer and self regulatory agencies
  - Responsible for visiting of Regional Offices to participate to review investigations and litigations
  - Reviewed and discussed the handling of cases before the S.E.C.
- Education**
- 1958 University of Connecticut Storrs, CT  
**School of Business**
- B.S. Business
- 1961 New York University New York City, NY  
**Law School**
- Juris Doctorem